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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**INVENTORS:** Niel Nickolaisen, Glen Lewis, Sean Whyte  
**DOCKET:** 01545.023  
**TITLE:** Systems and Methods of Containing and Accessing Generic Policy  
**SERIAL NO.:** 10/042,129  
**FILED:** 1/8/2002

Assistant Commissioner for Patents  
Washington, D.C. 20231

**RESPONSE TO NOTICE TO FILE MISSING PARTS**

Honorable Commissioner:

This paper is in response to a notice to file missing parts mailed 2/14/2002 in the above specified application, a copy of the notice being enclosed. Applicants petition under 37 C.F.R. 1.136 for an extension of four months.

Please also find enclosed a "Declaration for Patent Application" signed by Mr. Niel Nickolaisen and Mr. Glen Lewis, and a "Declaration for Patent Application" signed by Mr. M. Sean Whyte.

Applicants desire to correct the inventorship of the above specified application under 37 C.F.R. 1.48(f) to include only the following inventors:

Niel R. Nickolaisen  
Glen B. Lewis  
M. Sean Whyte

Please find enclosed a check for \$2898, including a \$740 statutory basic filing fee under 37 C.F.R. 1.16(a), a fee of \$588 for 7 independent claims over 3 under 37 C.F.R. 1.16(b), a late filing fee of \$130 as set forth in 37 C.F.R. 1.16(l), and a four month extension fee under 37 C.F.R. 1.17(a)(4) of \$1,440. These fees are calculated as large entity fees. The Commissioner is hereby authorized to charge any additional or missing fees under 37 CFR 1.16 and 1.17 which may be required by this paper to Deposit Account No. 50-0581.

Applicant, having now satisfied the requirements of filing, hereby requests examination of the above identified patent application.



Respectfully submitted this 7 day of August, 2002.

Everett D. Robinson  
Reg. No. 50,911  
PARSONS, BEHLE & LATIMER  
333 South 520 West, Suite 220  
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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

on August 7, 2002

Typed or printed name of person signing this certificate:

Everett D. Robinson

Signature 



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/042,129	01/08/2002	Neil Nickolaisen	01545.023

CONFIRMATION NO. 2045

FORMALITIES LETTER



\*OC000000007479144\*

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Date Mailed: 02/14/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/14/2002 HMARZ11 00000004 10042129

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:102	588.00 OP
03 FC:105	130.00 OP

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$588.  
    ▪ \$588 for 7 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1458.

*A copy of this notice **MUST** be returned with the reply.*

*Therese Gorenau*  
Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE